



Privacy & Identity Lab



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Contact Tracing

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Agenda

- **Scope:** contact tracing, from a technical perspective (mostly)
- Contact tracing basics
- Distributed versus centralized
- Privacy & security issues
- **GACT:** the Google/Apple platform

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Contact tracing basics

- **Goals (informed by epidemiological information)**
 - Identify “contacts” of infected patients over certain period (typically 14 days)
- **Contact?**
 - Someone in close proximity (within 1 - 2 meters)
 - For a certain amount of time (at least 5 - 10 minutes)
 - **Depending on context** (indoors/outside?, wall separating people?...)
- **Traditionally a labour intensive, manual process**
 - Interviewing infected patients and their potential contacts (also necessary to reassure people)

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“Solutionalism?”: Smartphones support for contact tracing

- **Using a contact tracing app**
 - For example based on location data
- **Mobile network (cell tower) data?**
 - Very imprecise: 100m - 10 km
- **GPS?**
 - Imprecise (especially indoors): 5 - 10 meters precision
- **QR!**
 - Check in/out; virtual handshake
 - Explicit consent, but therefore not automatic

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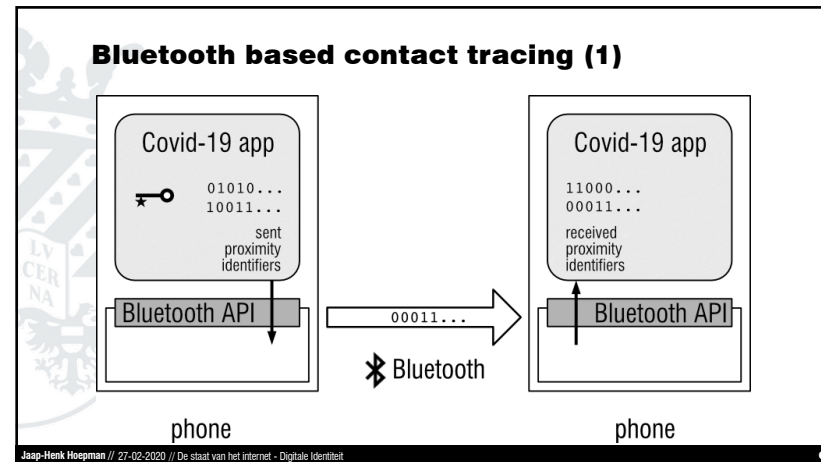
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Bluetooth based app

- **Smartphones send Bluetooth signals regularly**
- **Use signal strength to estimate distance**
 - Bluetooth is short range (several meters), but
 - Conditions may severely affect accuracy of estimate: bodies and buildings block signals, and signals travel far over water
- **Phones store signals received (if relevant)**
 - Contacts automatically logged
 - Provided both phones have Bluetooth switched on
- **Effective if > 60 – 70% of all people have app installed and Bluetooth switched on**
 - May be hard to meet in practice

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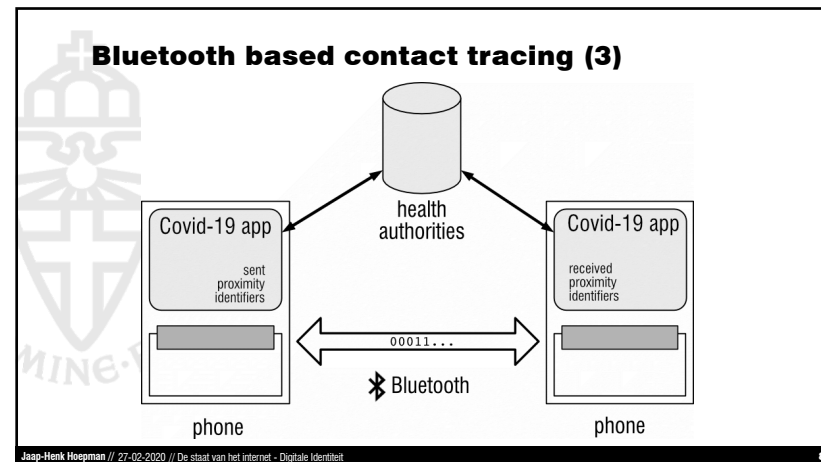
Bluetooth based contact tracing (2)

- **Broadcast**
 - Phone broadcasts proximity identifier (psuedonym) regularly
 - Changed regularly to avoid tracing (but not too fast to determine relevance for contact)
 - Change in sync with changing MAC address
 - Derived from daily/global key for efficiency
 - Keys/identifiers retained for fixed period (14 days)

- **Collect**
 - Phone collects any received proximity identifiers, keeping only those relevant for contact (distance + time)
 - Phone may tag these with time and location information
 - Phone automatically discards old proximity identifiers after fixed period (14 days)

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Bluetooth based contact tracing (4)

Centralised (PEPP-PT/ROBERT)	Distributed (DP3T)
<ul style="list-style-type: none"> ■ Determining contacts is done by central server • Infected patients phone is asked to upload all the proximity identifiers it collected to central server • These identifiers contain information that allow the server to establish the true identity of all contacts • Server warns these contacts 	<ul style="list-style-type: none"> ■ Determining contacts is done on phone itself • Infected patients phone is asked to upload all its own proximity identifiers (or the keys used to generate them) to central server • Other phones regularly contact this server for updates • Match new downloaded proximity identifiers with previously collected ones • Phone itself generates warning

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Hidden assumption

App/phone of user in distributed setting is somehow trusted to behave honestly

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Main privacy/security risks

Centralised	Distributed
<ul style="list-style-type: none"> ■ False alerts; denial of service ■ Know who infected you ■ Learn social graph (of infected people, and those in close contact) 	<ul style="list-style-type: none"> ■ False alerts; denial of service ■ Know who infected you ■ Trace movements of infected people (using released daily keys/proximity identifiers)

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GACT: Google Apple Contact Tracing platform

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GACT principles

- Distributed
- First to be released as an API in May
- Later to be embedded in OS
- Specifications in flux
 - <https://www.apple.com/covid19/contacttracing/>
 - First called Contact Tracing, now called Exposure Notification
- Only way for apps to use Bluetooth
- Once enabled works, even if user has no app installed

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GACT: keys

daily key 1

daily key 14

proximity identifier

101101011...

010010110...

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GACT: API

daily keys of infected phone

daily keys of recently infected phones

daily keys of all infected phones

Covid-19 app central database

iOS iPhone

Android Nexus Google

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Analysis (1)

- **Consent based**
 - According to FAQ: opt-in; but how “off” is “off”?
- **Contact tracing moves from the app layer down to the OS layer**
 - Available all the time → no longer limited in time
 - For all kinds of apps → no longer limited in use
 - Loss of control → you can uninstall an app, but not an OS
- **GACT monopoly**
 - Because any contact tracing app is required to use it; otherwise no access to Bluetooth (which is a problem especially under iOS)
 - Microdata solely under Apple/Google control
- **Enormous amount of trust put in Google and Apple**

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Analysis (2)

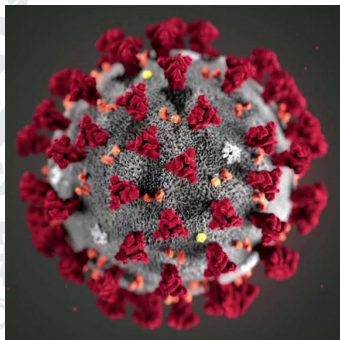
- **Distributed can be made centralised**
 - Any app can report the locally computed result back to the central server
 - And can learn important metadata when cleverly using API
- **Function creep**
 - China: monitor Uyghurs. Israel: monitor Palestinians.
 - Monitor the visitors of abortion clinics, coffee shops, gay bars,
 - Contact tracing also has tremendous commercial value.
- **This (technically) creates a dormant functionality for mass surveillance**
 - Interoperable between Google and Android
 - Interoperable globally

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It's a bit like.... Having a virus in your house



- We have removed the spikes
- We will only release with your explicit consent
- We will remove it as soon as it is no longer necessary
- ...
- It all depends on how afraid you are of viruses...

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Questions / discussion



[Monty Python's
Argument Clinic sketch]

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